

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number 10/693,604

Filing Date 10/27/2003

First Named Inventor Richard ASSAKER

Art Unit 3731

Confirmation No. 6463

Examiner Name Unknown

Sheet 1 of 4

Attorney Docket Number 64118.000033

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	DOCUMENT NUMBER Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
1	1	2002/0120273	08-29-2002	Needham et al.	
2	2	2004/0220571	11-04-04	Assaker et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	FOREIGN PATENT DOCUMENT		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	TRANSLATION	
		Country Code:	Number - Kind Code (if known)				YES	NO
3	3	CH	373516	01-15-1964	Muller			
4	4	CH	462375	10-31-1968	Allgower et al.			
5	5	CH	468824	04-15-1969	Muller			
6	6	CH	566767	09-30-1975	Spiessl et al.			
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11	11	DE	19720782	11-19-1998	Schlapfer			
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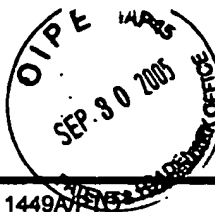
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<i>MC</i>	23	FR	2740321	10-27-1995	Fuentes		X	
	24	FR	2778088	04-30-1998	Assaker et al.			
	25	GB	780,652	08-07-1957	Evans			
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	33	WO	99/56653	11-11-1999	Assaker et al.			
<i>MC</i>	34	WO	01/26566	04-19-2001	Needham et al.		X	

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

Examiner Initial	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	TRANSLATION
<i>MC</i>	35	Cross Medical Products, Inc.'s Reply and Counterclaims to Medtronic's Counterclaims (11/13/03), <i>Cross Medical Products, Inc. v. Medtronic Sofamor Danek, Inc. et al.</i> , No. SACV 8:03-0110 GLT (C.D. Cal. filed February 4, 2003).	
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	37	Blackstone Anterior Cervical Plate Brochure; Blackstone Medical Inc.	
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<i>MC</i>	39	Casper, "Anterior Cervical Fusion Instrumentation and Trapezial Osteosynthetic Plates," Aesculap; Publication date unknown.	



Substitute for form 1449A (7/95)

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First Named Inventor Richard ASSAKER

Art Unit 3731

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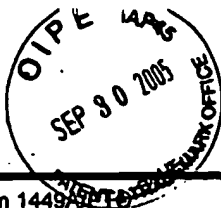
Examiner Name Unknown

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/K	40	Codman, "Codman Anterior Cervical Plate System," Publication date unknown.	
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	42	Satomi, Keichi, DDS et al., "Bone-implant interface structures after nontapping and tapping insertion of screw-type titanium alloy endosseous implants," The Journal of Prosthetic Dentistry, March 1988 59(3):339-342.	
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		Filing Date	10/27/2003		
		First Named Inventor	Richard ASSAKER		
		Art Unit	3731		
		Confirmation No.	6463		
		Examiner Name	Unknown		
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file	52	Author unknown, "Dynamic Compression Plates," pp. 70-79, Publication date unknown.		
	53	Bagby, George W. et al., "An Impacting Bone Plate," from Staff Meetings of the Mayo Clinic, February 6, 1957, 32(3):55-57.		
	54	Bagby, George W. et al., "The Effect of Compression on the Rate of Fracture Healing Using a Special Plate," American Journal of Surgery, May 1958, 95:761-771.		
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	56	"Premier™ Anterior Cervical Plate System Surgical Technique," Medtronic Sofamor Danek © 2000.		
file	57	"Zephir™ Anterior Cervical Plate System Surgical Technique," Medtronic Sofamor Danek © 2000.		

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Sheet

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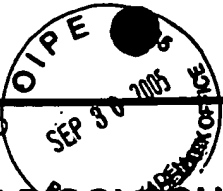
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U.S. PATENT DOCUMENTS

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/	2	2,443,363	06/1948	Townsend et al.	
	3	3,386,437	06/1968	Treace	
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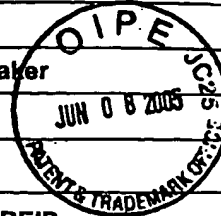
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AK		WO	94/17744	08-18-1994	Lowery et al.		1	1

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS

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AK		Denis J. DiAngelo et al., "Cervical Plating Systems Reverse Load Transfer Through Multi-Level Strut-Grafts," North American Congress on Biomechanics, University of Waterloo, Waterloo, Ontario, Canada, 3 pages (August 14-18, 1998).	
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MC		Order on (1) Cross-Motions for Partial Summary Judgment re: Invalidity of the '602 Patent; (2) Plaintiff's Motion for Partial Summary Judgment re: Infringement of the '602 Patent, Cross Medical Products, Inc. v. Medtronic Sofamor Danek, Inc., et al., Case No. SA CV 03-110-GLT (ANx) (C.D. Cal. filed February 4, 2003).		
MC		Order on (1) Cross-Motions for Partial Summary Judgment re: Infringement of the '786 Patent; (2) Cross-Motions for Partial Summary Judgment re: Invalidity and Unenforceability of the '786 Patent, Cross Medical Products, Inc. v. Medtronic Sofamor Danek, Inc., et al., Case No. SA CV 03-110-GLT (ANx) (C.D. Cal. filed February 4, 2003).		

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